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OFFICIAL COMMUNICATION**FAX NO.: (703) 872-9306**

Date: November 12, 2004

Sender: Mary Deauclaire, Patent Secretary to Elise R. Heilbrunn

Application No. 09/465,994

Our Ref. No.: SUN1P507/P4152

Re: Foreign references cited separately

Pages Including Cover Sheet(s):

Resending Nov. 12
PART 1 - 30
PART 2 - 25

MESSAGE:

Attached are:

- 1) copy of Office Communication from Examiner Thomas Pham,
Art Unit 2121, dated 10/29/04
- 2) New Information Disclosure Statement Transmittal with Certificate of Transmission
- 3) New Form 1449
- 4) copies of 3 (three) cited references
 - a) Second Office Action issued July 9, 2004 for Chinese Patent Application
No. 00810268.6, filed July 13, 2000
 - b) EP0524071A1
 - c) EP0908821A1

for the above-referenced application.

Please call me directly at (510) 495-3224 if you have any questions.

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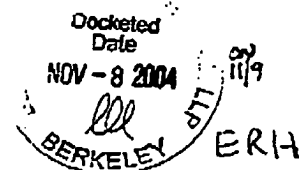
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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 09/463,994 | 12/16/1999 | TAO YE | SUN1P507 | 9656 |
| 22434 | 7590 | 11/03/2004 | | |
| BEYER WEAVER & THOMAS LLP P.O. BOX 778 BERKELEY, CA 94704-0778 | | | | |
| EXAMINER PHAM, THOMAS K | | | | |
| ART UNIT 3121 | | PAPER NUMBER | | |

DATE MAILED: 11/03/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)



NOV. 12. 2004 4:05PM

BWT LLP (BERKELEY)

NO. 090 P. 3



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| | | | |
|---------------------------------|-------------|--|---------------------|
| APPLICATION NO./ CONTROL NO. | FILING DATE | FIRST NAMED INVENTOR/ PATENT IN REEXAMINATION | ATTORNEY DOCKET NO. |
| 09/465,994 | 12/16/1999 | YE, et al. | SUNIP507/P4152 |
| EXAMINER | | | |
| THOMAS PHAM | | | |
| ART UNIT | | PAPER | |
| 2121 | | 20041028 | |

DATE MAILED:

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Commissioner for Patents

Attached are the PTO-1449s submitted on November 10, 2003 and September 20, 2004.

Applicants need to list the two foreign references cited by the Chinese Patent Office separately in order to obtain consideration.

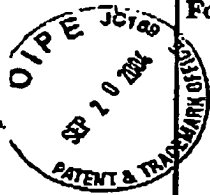
The certification under 37 CFR 1.97(e) must also be made with respect to the references. *Q.E. 10/29/04*


Anthony Knight
Supervisory Patent Examiner
Group 3600

Thomas K. Pham


Patent Examiner

PTO-90C (Rev. 04-03)



| | | |
|--|-----------------------------------|--------------------------------|
| Form 1449 (Modified) | Atty Docket No. SUN1P507/P4152 | Application No.: 09/465,994 |
| Information Disclosure Statement By Applicant | Applicant: Ye, et al. | |
| (Use Several Sheets if Necessary) | Filing Date 12-16-1999 | Group 2121 |

U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub- class | Filing Date |
|---------------------|-----|------------|------|----------|-------|---------------|----------------|
| | A | | | | | | |
| | B | | | | | | |

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub- class | Translation | |
|---------------------|-----|-----------------|---------------------|-----------------------------|-------|---------------|-------------|----|
| | C | | | | | | Yes | No |
| | D | | | | | | | |

Other Documents

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|---------------------|--|--|
| | E | Second Office Action issued July 9, 2004, citing previously-cited documents: EP0524071A1 and EP0908821A1; Chinese Patent Application No. 00810268.6, filed July 13, 2000, <i>Methods and Apparatus For Managing An Application According To an Application Lifecycle</i> . |
| | F | |
| Examiner | <i>Thyram</i> Date Considered <i>10/28/04</i> | |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ye, et al.

Attorney Docket No.: SUN1P507/P4152

Application No.: 09/465,994

Examiner: T.K. Pham

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Filed: December 16, 1999

Group: 2121

NOV 12 2004**Title: METHODS AND APPARATUS FOR
IMPLEMENTING AN APPLICATION
LIFECYCLE DESIGN FOR APPLICATIONS****CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being transmitted via facsimile to the U.S. Patent and Trademark Office, Attention: Thomas K. Pham at facsimile telephone number (703) 872-9306 on November 12, 2004.

Signed: 

Mary Deauclaire

**INFORMATION DISCLOSURE STATEMENT
AFTER FINAL ACTION OR NOTICE OF ALLOWANCE
(37 CFR §§ 1.56 AND 1.97(d))**

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, a copy of which is attached, may be material to examination of the above-identified patent application. Applicants submit this reference in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this reference indeed constitutes prior art.

This Information Disclosure Statement is being filed after the mailing date of final action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee.

SUN1P507/P4152

-1-

09/465,994

The undersigned hereby states:

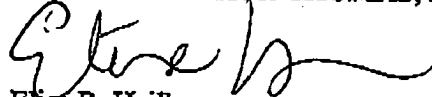
☒ that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Information Disclosure Statement of September 16, 2004. The two foreign references cited by the Chinese Patent Office were listed in the Information Disclosure Statement of September 16, 2004, as part of the description of the Second Office Action issued July 9, 2004. This Information Disclosure Statement fulfills the Examiner's request to list the two foreign references separately, in order to obtain consideration, or

☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

If it is determined that any fees are due in payment of the Information Disclosure Statement, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SUN1P507).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP



Elise R. Heilbrunn

Registration No. 42,649

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Berkeley, CA 94704-0778
(510) 843-6200

| | | |
|--|---|---|
| Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary) | Atty Docket No.SUN1P507/P4152 | Application No.: 09/465,994 |
| | Applicant: Ye, et al. | Filing Date 12-16-1999 Group 2121 |

U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date |
|------------------|-----|------------|------|----------|-------|-----------|-------------|
| | A | | | | | | |
| | | | | | | | |

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation | |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
| | | | | | | | Yes | No |
| | B1 | EP0524071A1 | January 20, 1993 | EP | G06F | 9/46 | X | |
| | B2 | EP0908821A1 | April 14, 1999 | EP | G06F | 9/455 | | X |

Other Documents

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|------------------|-----|--|
| | C1 | <u>Second Office Action</u> issued July 9, 2004, for Chinese Patent Application No. 00810268.6, filed July 13, 2000, <i>Methods and Apparatus For Managing An Application According To an Application Lifecycle.</i> |
| | | |
| Examiner | | Date Considered |

Examiner: Initial citation considered. Draw line through citation if not in conformance, and not considered. Include copy of this form with next communication to applicant.